

Comparing functional programs, or how to put λ -terms back in order

Adrienne Lancelot

Thesis prepared at the *Institut Polytechnique de Paris*

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CNRS, IRIF

E.W. Beth Dissertation Award, August 7th 2026

Theoretical Computer Science → Programming Languages

Comparing functional programs, or how to put λ -terms back in order

Studying functional programming languages

```
let sort (compare : 'a -> 'a -> bool) : list 'a -> list 'a := ...
```

Investigating the problem of **program equivalence**

Are `quick_sort` and `bubble_sort` equivalent?

In Practice, **program equivalence** is used to verify the correctness of the changes made by the user or the compiler.

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Order(s) on λ -terms

How (in what **order**) does the computer compute syntactic programs?

→ computation dynamics: operational semantics, evaluation strategies, rewriting

Program **Preorders** instead of Equivalences!

→ Updating code instead of always writing equivalent programs

Improvement Theory: computation **costs** of programs

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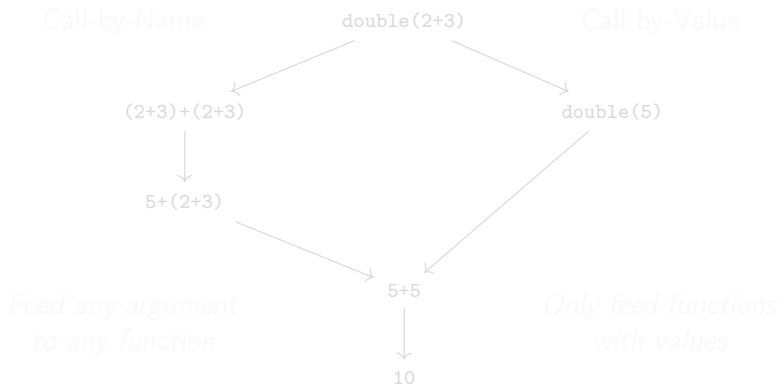
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Evaluation Order

My program

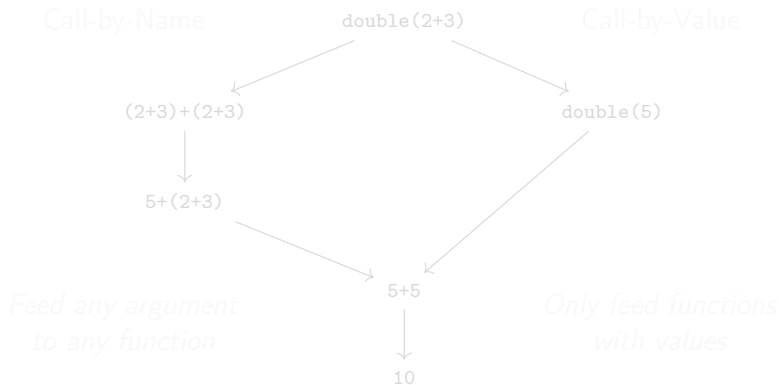
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let double (x : int) : int := x + x.  
let my_computation := double(2+3).
```



Evaluation Order

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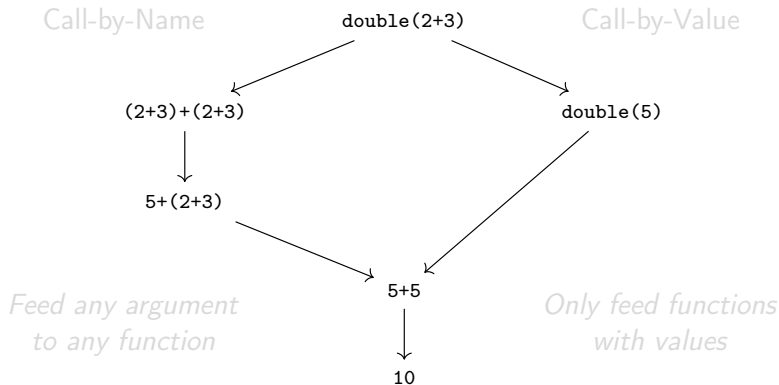
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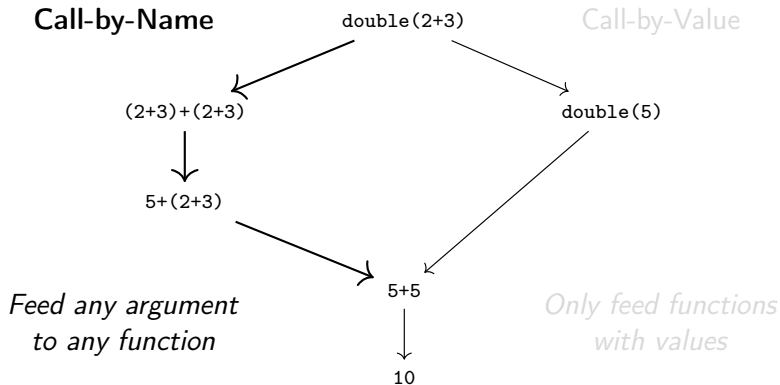
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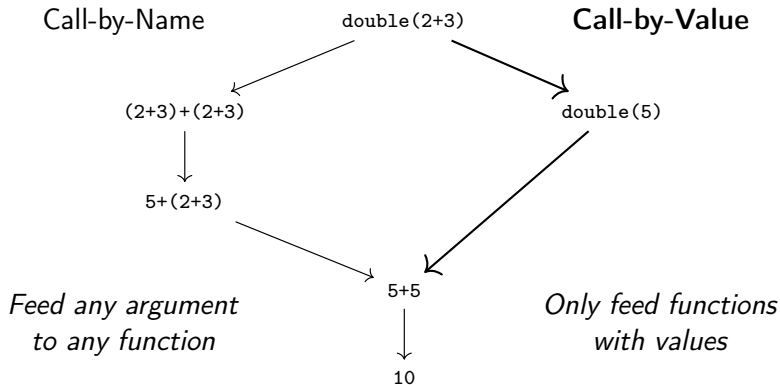
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Computational Equivalence

Two coders write a program, how do we know if they are the same?

► Inspecting the Code:

A program

```
let my_function :=
```

Another program

```
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Another program

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The code is **identical**.

Computational Equivalence

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A program

```
let my_function :=  
    2+3+2+3.
```

Another program

```
let my_function :=  
    double(2+3).
```

The code is **computationally equivalent**.

Contextual Equivalence, Morris [1968]

► Using the Programs:

An execution environment

```
let n := 42. ...
```

A program

```
let my_fun x  
:=  
    x+x.
```

The same execution environment

```
let n := 42. ...
```

Another program

```
let my_fun x  
:=  
    2*x.
```

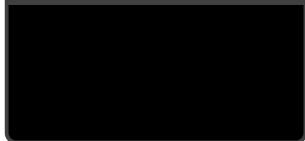
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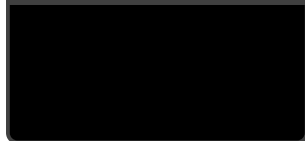
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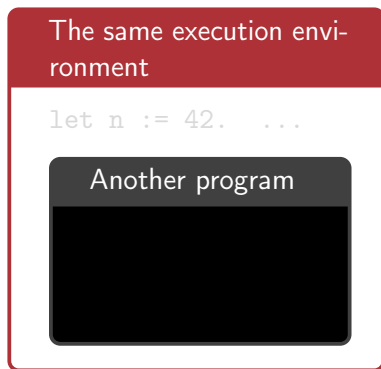
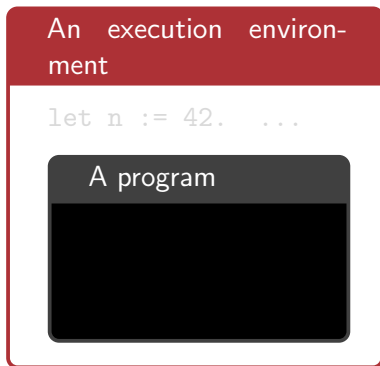
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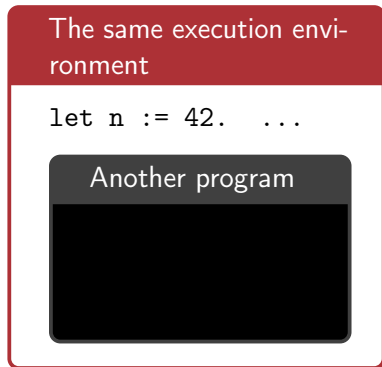
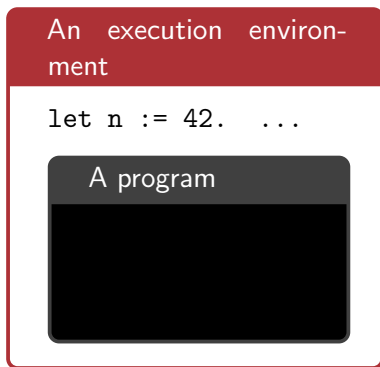
► Using the Programs:



The code is **tested**.

Contextual Equivalence, Morris [1968]

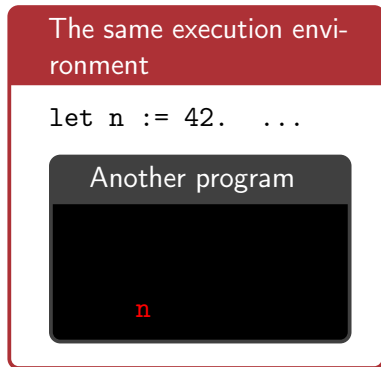
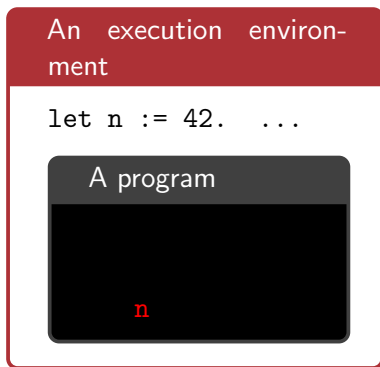
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The context affects the **behavior** of the program.

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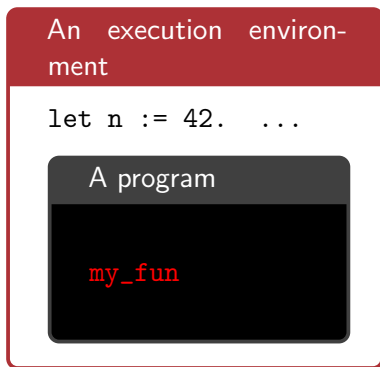
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```
my_fun
```

```
...  
print(my_fun(n));
```

The same execution environment

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(* terminates, prints 84 *)

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- Using the Programs: $\forall$ execution environment

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```
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Testing programs in **every** possible context.

Contextual Equivalence, Morris [1968]

- Using the Programs: $\forall$ execution environment

An execution environment

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```

A program

```
...  
print(my_fun(n));
```

(* terminates, prints **x** *)

The same execution environment

```
let n := 42. ...
```

Another program

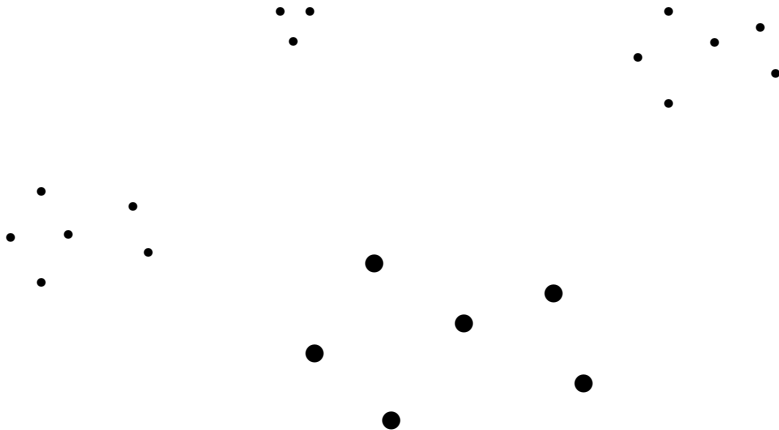
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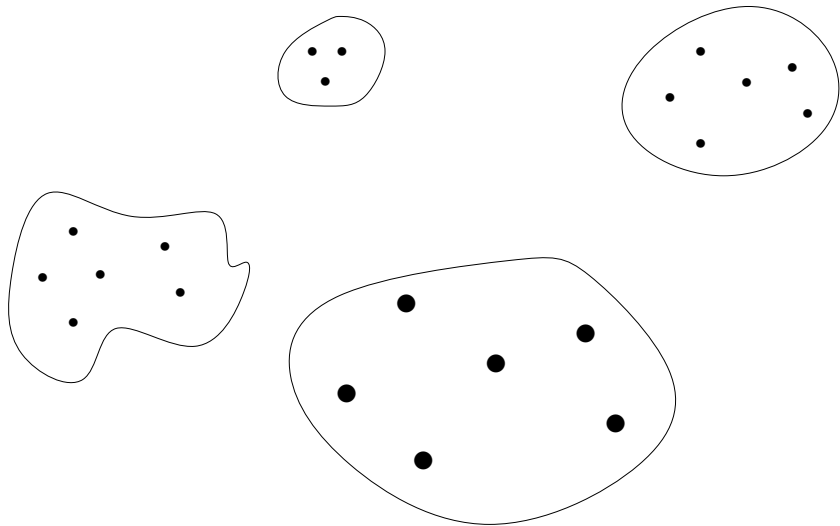
(In)Equational Theories

Program Equivalences in General:



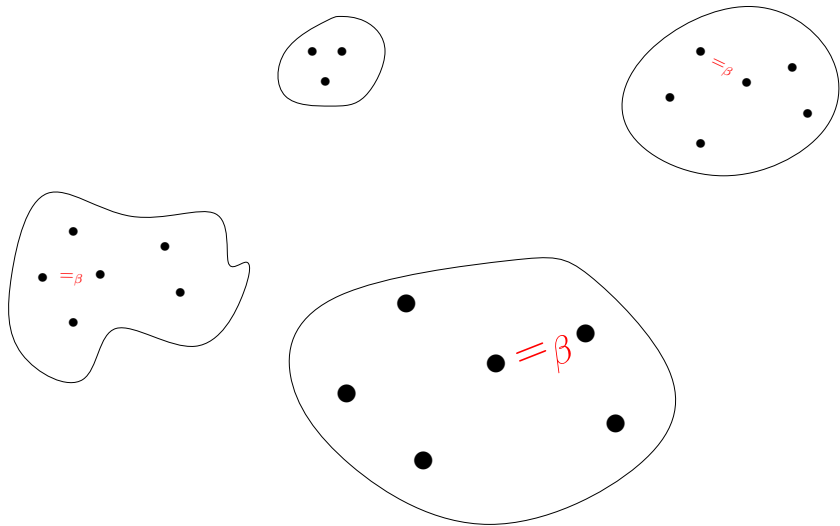
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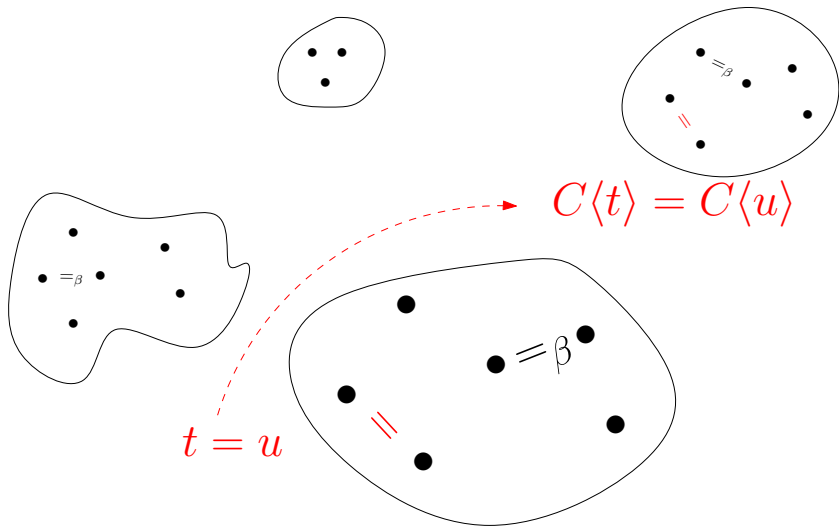
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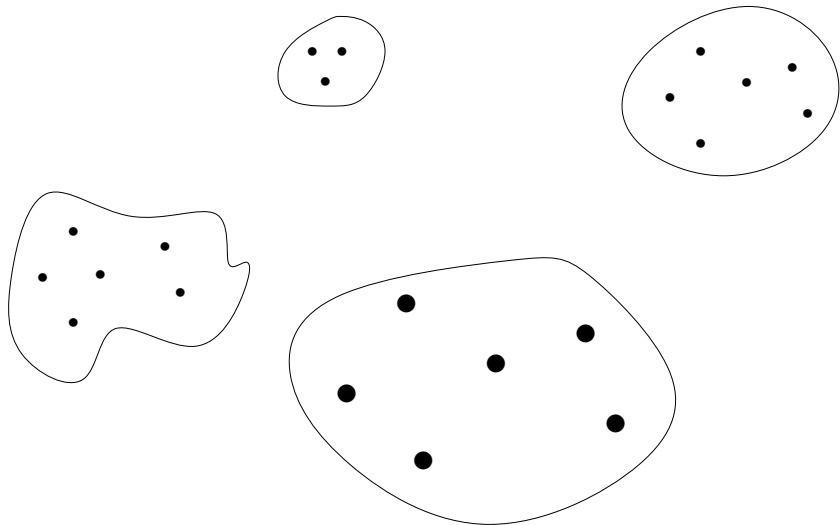
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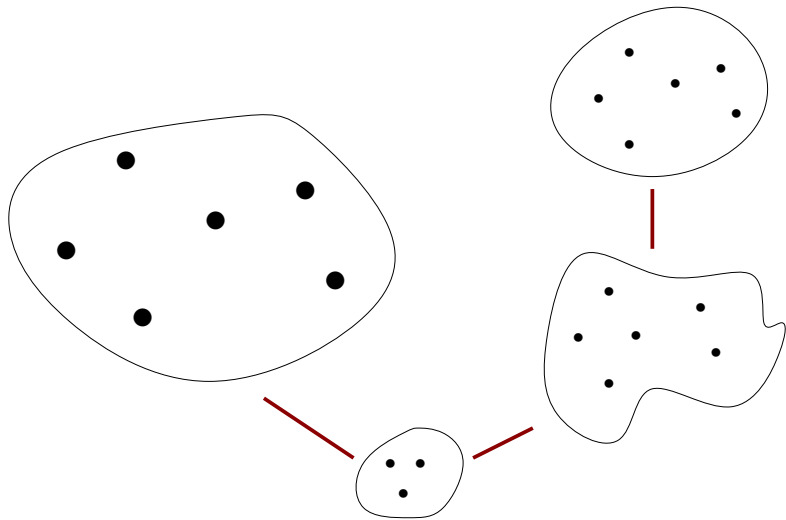
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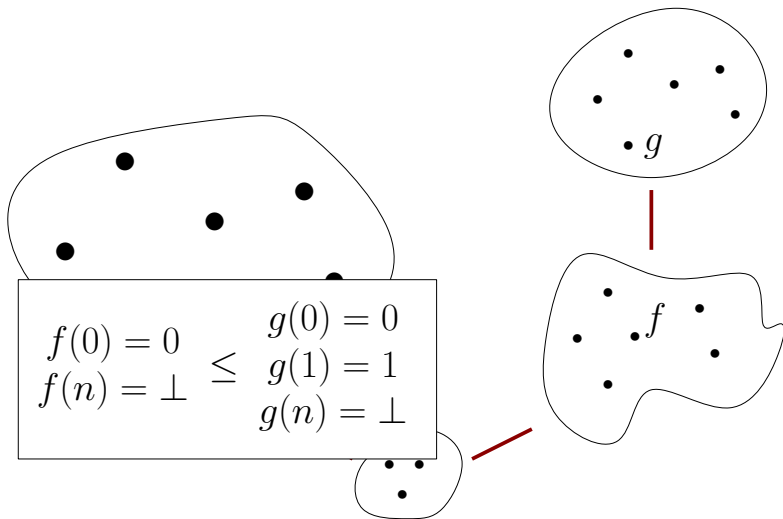
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Program ~~Equivalences~~ **Preorders** in General:



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Which (In)Equational Theory, and for what?

- ▶ **Contextual Equivalence**
a natural equational theory
- ▶ Tractable Program Equivalences
e.g. bisimulations
- ▶ Cost-Aware Program Equivalences
→ intensional properties of programs

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Interaction Equivalence

« *The meaning of a program should express its history of access to resources which are not local to it.* »

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Refine **quantitatively** contextual equivalence, ignoring the internal behavior of programs!

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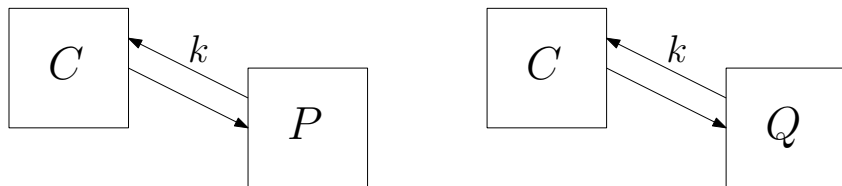
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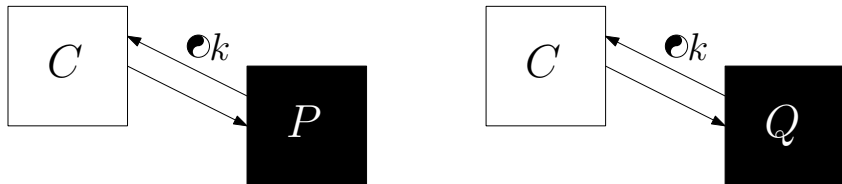
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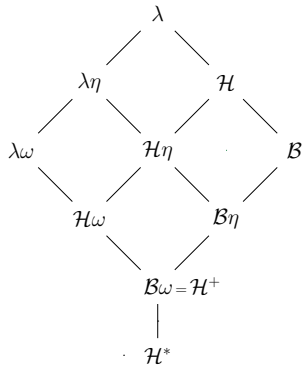
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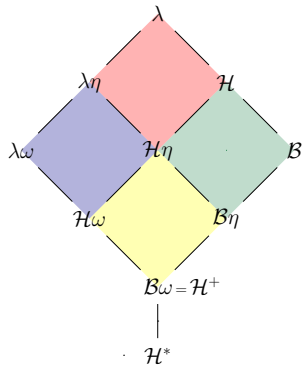
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A Call-by-Value Odyssey



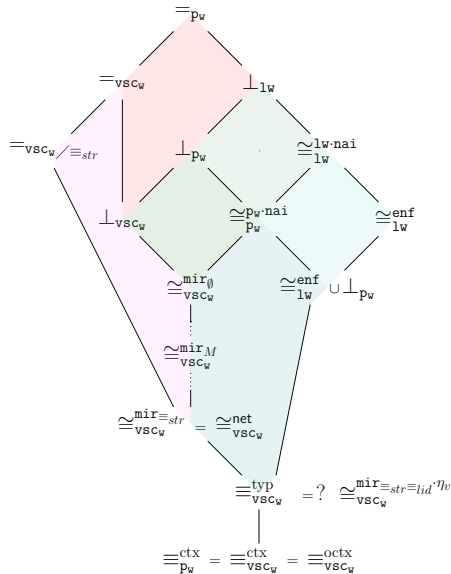
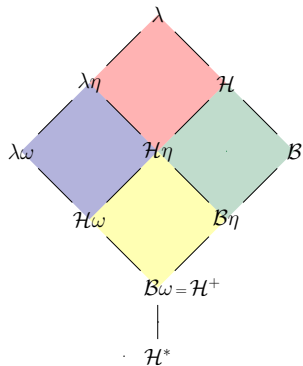
$$(x + v) + (x + v) \equiv \text{let } z = (x + v) \text{ in } z + z$$

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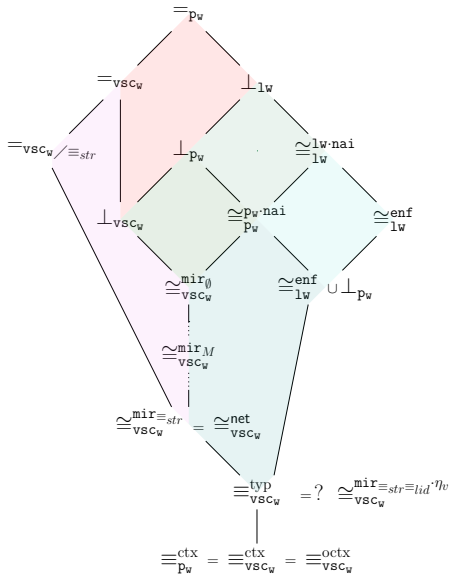
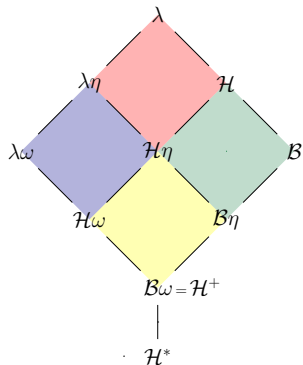
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Theory of Computation

Church's λ -Calculus

- ▶ A prototypical functional programming language

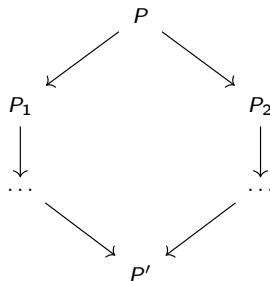
$x \mapsto f(x)$ is $\lambda x.f(x)$

β -reduction:

$(\lambda x.x+x)(n) \rightarrow_{\beta} n+n$

Rewriting Theory

- ▶ Study of Rewriting Sequences

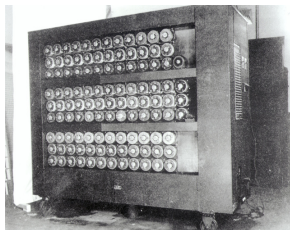
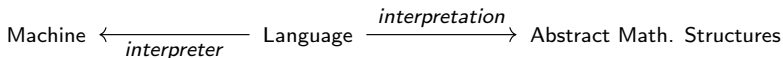


Semantics as Specification

Program equivalence can be studied through different **semantic** point of views.

Operational

Denotational



$$\begin{aligned} \llbracket \text{myprog} \rrbracket &= f : \mathbb{N} \rightarrow \mathbb{N} \\ \llbracket \text{myprog_1}(\text{myprog_2}) \rrbracket & \\ \parallel & \\ \llbracket \text{myprog_1} \rrbracket \circ \llbracket \text{myprog_2} \rrbracket & \end{aligned}$$

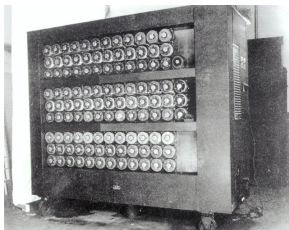
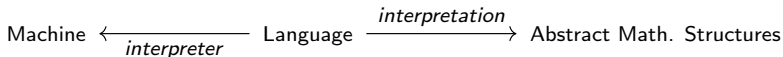
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Contextual Equivalence depends on the Evaluation Paradigm

$$10 \xleftarrow{\text{CbN}} \text{double}(2+3) \xrightarrow{\text{CbV}} 10$$

There are **looping** terms like $\Omega \rightarrow \Omega \rightarrow \Omega \rightarrow \dots$

Fix a program $\text{always_1} := \lambda x.1$. How to compute $\text{always_1}(\Omega)$?

Call-by-Name

Call-by-Value



*Feed any argument
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*Only feed functions
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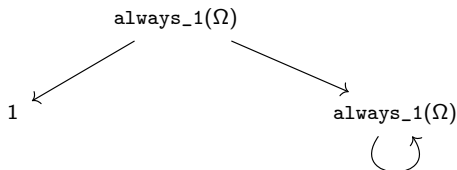
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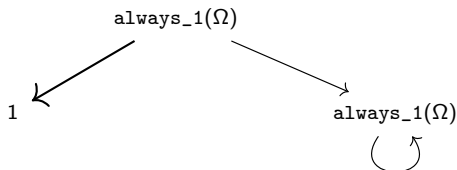
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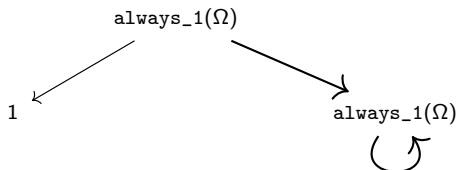
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Normal Form Bisimulations

How do we prove equivalence of (co)recursive programs?

Typically: **equating fixpoint combinators**

► “Infinitely” Inspecting the Code:

A program

```
let rec f :=  
    f(rec f).
```

Another program

```
let rec' f :=  
    f(f(rec' f)).
```

An (infinitary) semantics of these programs: $\lambda f.f(f(f(f \dots)))$

Building and Comparing infinite structures is done by **coinduction**.

$$\begin{array}{c} \text{BT}(\text{rec}) = \lambda f.f \\ \quad \quad \quad | \\ \quad \quad \quad f \\ \quad \quad \quad | \\ \quad \quad \quad f \\ \quad \quad \quad \vdots \end{array} = \text{BT}(\text{rec}')$$

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Normal Form Bisimulations

How do we prove equivalence of (co)recursive programs?

Typically: **equating fixpoint combinators**

► “Infinitely” Inspecting the Code:

A program

```
let rec f :=  
    f(rec f).
```

Another program

```
let rec' f :=  
    f(f(rec' f)).
```

An (infinitary) semantics of these programs: $\lambda f.f(f(f(f \dots)))$

Building and Comparing infinite structures is done by **coinduction**.

$$\text{BT}(\text{rec}) = \lambda f.f \quad = \text{BT}(\text{rec}') \\ \begin{array}{c} / \\ f \\ | \\ f \\ \vdots \end{array}$$

(In)Equational Theories

Fix an evaluation strategy s within a language of terms $(\mathcal{L}, \rightarrow_{\mathcal{L}})$,
 $\rightarrow_s \subseteq \rightarrow_{\mathcal{L}}$.

An **inequational** theory $=_{\mathcal{T}}$ for s is:

1. an *Equivalence Relation* on terms, $=_{\mathcal{T}} \subseteq \mathcal{L} \times \mathcal{L}$;
2. *Context-Closed*:
if $t =_{\mathcal{T}} u$ then $\forall C, C\langle t \rangle =_{\mathcal{T}} C\langle u \rangle$;
3. *Invariant under Computation*:
if $t =_{\mathcal{L}} u$ then $t =_{\mathcal{T}} u$.

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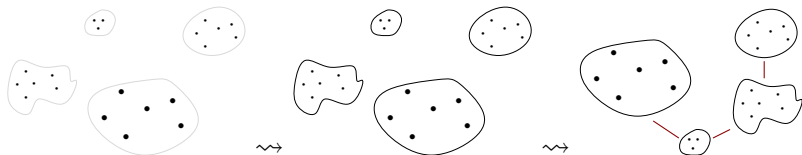
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Contextual Equivalence and Preorder

t

u

When are two λ -terms equivalent? [**Mor68**]

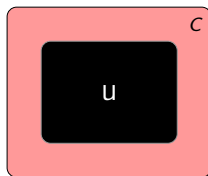
$t \equiv_s^{\text{ctx}} u$ if for all contexts C . $[C\langle t \rangle \Downarrow \Leftrightarrow C\langle u \rangle \Downarrow]$

$t \sqsubseteq_s^{\text{ctx}} u$ if for all contexts C . $[C\langle t \rangle \Downarrow \Rightarrow C\langle u \rangle \Downarrow]$

The s-contextual preorder is generally an **inequational theory**.

Sufficient conditions on s and $\mathcal{L}$ are provided in the thesis.

Contextual Equivalence and Preorder



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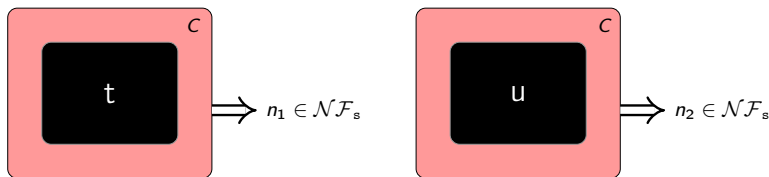
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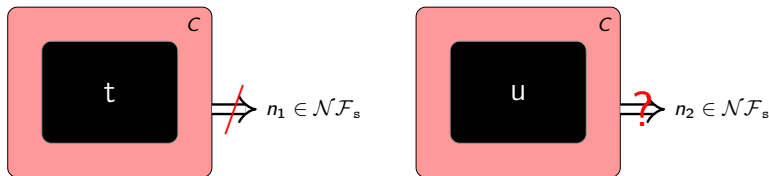
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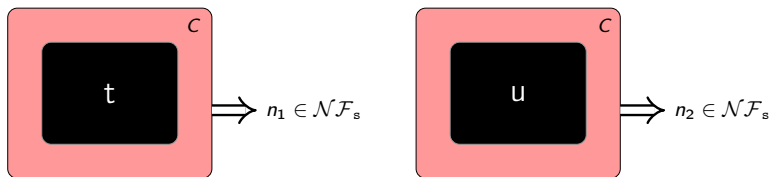
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A Venn diagram illustrating the relationship between different concepts in the theory of computation. It features three overlapping circles: a large red circle on the left, a green circle on the bottom left, and a blue circle on the bottom right. The red circle contains the text 'Inequational Theories' at the top, followed by 'Light and Co Genericity', 'Maximalities', 'Formalized CbN development', 'Improvement theories', 'Normal form bisimulations (and Böhm trees)', 'Applicative bisimulations', and 'Type Equivalence (for CbN)'. The green circle is partially overlapping the red circle, and the blue circle is also partially overlapping the red circle. The intersection of the red and green circles is shaded light green, and the intersection of the red and blue circles is shaded light blue.

Inequational Theories □ FoS

Light and Co Genericity

Maximalities

Formalized CbN development

Improvement theories

Normal form bisimulations
(and Böhm trees)

Applicative bisimulations

Type Equivalence (for CbN)

ITP 2025, formalized in Abella

Part II: A Pilgrimage into CbN

Interaction Equivalence

- Checkers Calculus
- Ctx. Characterization of Böhm tree equivalence
- Checkers Relational Semantics

Type Equivalence for lazy CbN

FoSSaCs 2024

FSCD 2024

Theory of Call-by-Value
Light and Co CbV Genericity
Call-by-Silly

Various CbV Normal
form bisimulations
Type Equivalence for CbV

📄 TechRep 2024





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